situation is not achievable with amphiphiles exposing hydrophobic end groups.

Here we report that amphiphiles having a polar head group such as an  $\alpha$ -amino or carboxylic acid and two amide groups along the chain invariably form Z-type multilayers. A list of representative molecules and their behaviors is given in Table I. The remarkably low water contact angles of 1-3, in spite of their hydrophobic end groups, indicate significant porosity to water. Moreover, groups with high hyperpolarizabilities, such as pnitroaniline or merocyanine 4-6, covalently attached to the above diamide handles, do not alter their ability to form stable Z-type films, as confirmed by second harmonic generation (SHG) measurements.24 SHG is a process converting light from wavelength  $\lambda = (2\pi c/\omega)$  to  $\lambda/2 = (2\pi c/2\omega)$ . It proceeds without specific molecular excitation, rather arising from residual noncentrosymmetrical polarizability within small but supermolecular volumes, being thus both highly dependent on and a sensitive probe of molecular packing. The very good  $N^2$  dependence observed with films of compound 4 (see Figure 1) is direct proof of the formation of Z-type films,  $^{25,3a,c}$  near (optical) equivalence of the layers, and the long term stability of the films since some of these data were reproduced over a year from the deposition date! Mixed multilayers from compounds 4 and 2, in 1:1 ratio, also exhibited SHG. In this case there was substantial in-plane inhomogeneity indicating clustering and domain formation but nevertheless allowing stable Z-layer production.<sup>27</sup>

The unusual behavior of the diamide amphiphiles can be explained as follows: the amide groups located along the hydrocarbon chains on the periphery of the crystalline domains may bind water via hydrogen bonds, rendering the surface more hydrophilic. Thus, we suggest that the contact angles depend not only on the structure and nature of the terminal group of an amphiphile but also on the extent and character of the voids<sup>19</sup> between the domains at the surface film. This model is supported by grazing angle X-ray diffraction and reflectivity measurements on a floating monolayer of palmitoyl-R-lysine (7) at 20 dynes/cm.<sup>28</sup> The monolayer was found to be a two-dimensional powder comprising crystalline domains of approximately 500-Å diameter. The side chains are inclined at an angle of  $\approx 60^{\circ}$  to the water surface

(20) In general, the three-phase line movement during monolayer depos-ition is not accurately described by quasi-static contact angles.<sup>14</sup> However, such angles are easily measured, being of value as indicators of the expected trend. It should also be noted that the water surface tension is lowered by the presence of a floating monolayer. According to Young's equation, for a monolayer surface pressure of 20 dynes/cm the contact angle with the floating monolayer will invariably drop to 0° for any pure water contact angle below ca. 44.5

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(24) Slides covered with mono- and multilayers of compounds 4-6 were studied by SHG primarily in the  $\theta = 45^{\circ}$  transmission geometry. Both Nd/YAG and Nd/YLF lasers were used, producing harmonics near 530 nm. Conversion efficiencies were measured relative to a quartz crystal and polarization dependences were investigated.

(25) (a) For surface SHG in the dipole approximation  $I_{2\omega} \propto [\tilde{e}_{2\omega} \cdot \chi_s^{(2)}...$  $\tilde{e}_{\omega} \hat{e}_{\omega}]^2$ , a square projection of the surface nonlinear susceptibility (a polar third-rank Cartesian tensor). Modelling  $\chi_s^{(2)}$  for LB multilayers as a tensorial summation of N layer-contributions,  $\chi_s^{(2)}$  depends on the layers' equivalence, registries and orientations. For idealized X- or Z-type films  $I_{2\omega} \propto N^2$ . Interference between successive layers in idealized Y-type films results in complete cancellation or a residual monolayer contribution when N is even or odd, respectively. SHG thus provides a critical, unambiguous test of type in well behaved films. Rigorous analysis will be presented elsewhere. (b) Heinz, T. F.; Chen, C. K.; Ricard, D.; Shen, Y. R. Phys. Rev. Lett. 1982, 48, 478.

(26) It should be commented that the scatter of data N = 1 is a consequence of nonlinear constructive interference by SHG at front and back surfaces of the slide. The slides have been wiped with solvent on one side to remove the second surface layer, but, as was proved by observation and analysis of 'Maker fringe' rotation patterns, it seems that the robust first layer was not completely removed by this procedure.

(27) Details of the SHG conversion also indicate that the "nonlinearly polarizable marker" in 4, p-nitroaniline, is oriented on average at a very large tilt angle from the LB film normal.

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thus precluding close packing of neighboring domains. Indeed the Fresnel reflectivity data of 7 indicated that the monolayer coverage is 90%, the remaining 10% representing bare patches of water. The role played by the occluded water in determining the mode of deposition is indicated by the following experiments: 7 yields at 20 dynes/cm Z-type multilayers, whereas at 25 dynes/cm or above, it forms a Y-type film, in keeping with tighter packing of the domains. Drying of a deposited monolayer of monoamide 7 for 24 h at 25 °C raised its advancing contact angle and also caused Y-type deposition of the following layer. A similar treatment of a monolayer of diamide 3 did raise the contact angle but not above 90°, and thus the following depositions continued to be Z-type.

In agreement with this model, is the observation that monolayers incorporating ester groups 8 and 9, known to bind water less firmly than amides, form Y-type films.

In order to test the generality of the present model, we are preparing new amphiphiles with different groups along the hydrocarbon chain, which should affect retention of water, the tilt of the chains, and size of domains.

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Registry No. 1, 113321-78-1; 2, 113321-79-2; 3, 113321-80-5; 4, 113321-81-6; 5, 113321-84-9; 6, 113321-82-7; 7, 59012-43-0; 8, 113321-83-8; **9**, 83789-61-1.

## Macroring Contraction Methodology. 4. A Novel Route to Steroid A, B, C Rings by the Transannular **Diels-Alder Reaction of the 14-Membered** (E,E,E)-Macrocyclic Triene

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Intermolecular reactions on macrocycles can proceed with high stereoselectivity.<sup>1</sup> We recently demonstrated that the transannular [2,3]-Wittig rearrangement of 13-membered diallylic ethers yields germacrane lactones.<sup>2</sup> We wish now to report a novel route to the steroid skeletone (A/B/C/D,cis-anti-trans-anti-trans) via the transannular Diels-Alder reaction of the 14-membered cyclic triene.<sup>3</sup> Intramolecular Diels-Alder reactions are useful synthetic methods, and their regio- and stereoselectivities have been well studied.4 However, few synthetic studies on transannular

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## Table I. Steric Energy (kcal/mol) of the Four Possible MM2 (STO-3G) Transition Structures



0.2

<sup>a</sup>SE = steric energy (kcal/mol).

D

Diels-Alder reactions of macrocycles have been reported.5,6

0

7.3

The ab initio quantum mechanical calculations are used to obtain quantitative informations about the geometry and energetics of the transition state. This method, however, cannot be applicable directly to complex molecules because of enormous computer time required for calculations. Molecular mechanics calculations also have been proven useful in predictions<sup>1b</sup> (or analyses) of the stereoselectivity in macrocyclic reactions. As described below, MM2 transition structure models7 based on ab initio calculations (see Table I) suggest that the trans stereochemistry between C(13)-methyl and C(14)-hydrogen in 2a can control the conformation of the 14-membered ring and provide a highly stereoselective Diels-Alder reaction. Thus, in our synthetic plan (Scheme I) the triene 2a is the key intermediate, and its 14membered skeletone is constructed by the intramolecular alkylation<sup>8</sup> of the cyanohydrin 3b. The trans relative stereochemistry between C(13) and C(14) and all functional groups required to construct 3b are introduced by the Michael addition<sup>9</sup> of the cuprate 5a to the enone 4 and subsequent  $\beta$ -methylation<sup>10</sup> of the resulting enolate.

To calculate the MM2 transition structures in the Diels-Alder reaction of 2a, Houk's synchronous STO-3G transition structure<sup>11a</sup> of the butadiene-ethylene reaction was used as the partial ge-

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Scheme I

3.1



0.9

3.1

ometry of the reactive diene and dienophile in the macrocycle. Connecting saturated carbon chains were substituted for appropriate hydrogens,<sup>11b</sup> the macroring was constructed by using the MMRS program,<sup>12</sup> and resulting conformations were optimized by using normal MM2 parameters<sup>13</sup> (Table I). Although two lower transition structures A and B have the chair-boat-chair conformations, the structure B is 3.3 Kcal/mol higher in steric energy. Much of this destabilization arises from the van der Waals and the bending energy functions (Table I). A Boltzmann distribution between A and B leads to an expected product ratio of 98:2 (A/B cis:trans).

Preparations of the enone 4 by our method<sup>14</sup> and the iodide 5b are available in the Supplementary Material. The one-pot conjugate addition-enolate methylation using 4, cis-vinylcopper phosphine complex 5a, and iodomethane was carried out as previously described.<sup>9</sup> Treatment of the enone 4 (1.9 mmol) with

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<sup>(12)</sup> Our new version (Fukazawa, Y.; Usui, S.; Uchio, Y.; Shiobara, Y.;
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Scheme II



5a (3.8 mmol), prepared by applying Noyori's procedure,<sup>15</sup> in ether at -70 °C for 1.5 h, followed by addition of an excess hexamethylphosphoramide at -40 °C, methylation of the resulting enolate with an excess MeI at -30 °C, and hydrolysis of the 1-methoxyisopropyl group (0.1 N HCl/THF) afforded a readily separable mixture of ketones 6a,b:7a,b (94:6)<sup>16</sup> in 64% overall yield. The HPLC analysis of a mixture of 6a and 6b revealed two peaks in an 80:20 ratio.<sup>16</sup> The assignment of the major isomer to **6a** is based on our previous results.<sup>9,10</sup> Transformation of **6a** to the dienyl chloride 3b required three operations: (1) isomerization of the (Z)- to the (E)-olefin, (2) generation of the (E,E)-dienyl chloride, and (3) cyanohydrin ether formations. The (Z)-olefin in 6a was isomerized to the (E)-olefin by the double [2,3]-sigmatropic rearrangements<sup>17</sup> (p-TolSCl/Et<sub>3</sub>N, P(OMe)<sub>3</sub>/MeOH) to give the alcohol 8 in 85% overall yield. Acetylation of 8  $(Ac_2O/pyridine)$ , oxidative elimination of the terminal phenyl sulfide (NaIO<sub>4</sub>, 160 °C/xylene-pyridine), and methanolysis of the acetyl group ( $K_2CO_3/MeOH$ ) gave 9 in 61% overall yield. The allylic chlorination of 9 (SOCl<sub>2</sub>/CH<sub>2</sub>Cl<sub>2</sub>-pyridine at -70 °C, 83% yield), hydrolysis of the acetal (3 N HCl/THF, 73% yield), and protected cyanohydrin formations of the resulting keto aldehyde 3a under our standard conditions<sup>8a</sup> gave 3b in 90% yield (Scheme II).

Cyclization of 3b with LiN(TMS)<sub>2</sub> in dioxane at 80 °C gave the macrocyclic product 2b in 75% yield.<sup>18</sup> Acid treatment of 2b, followed by base treatment of the resulting cyanohydrin gave the diketone  $2a^{19}$  in 65% overall yield from 3b. The Diels-Alder reaction of 2a (in xylene at 180 °C, a catalytic amount of methylene blue) was completed within 1 h to give the tetracyclic diketone  $1^{19}$  in 84% yield. None of the diastereomer of 1 could be detected by HPLC and NMR analyses. The <sup>1</sup>H NMR spectral data of the synthetic  $(\pm)$ -1 were identical with those previously reported.<sup>20</sup> The predictions of stereoselectivity based on calculations are in quantitative agreement with the experimental trends.

This type of stereocontrol might have predictable value in organic synthesis because easy formations of the tricycles take

1836.

place under mild condition and even with a less reactive dienophile. Moreover, the ab initio MM2 transition structure models are useful in designing the synthetic key intermediate providing high stereoselectivity.

Supplementary Material Available: Preparation of 4, III, 5b, and 3b, experimental and spectral data for IV, VIII, IXa, IXb, III, XI, XIIa, XV, 6a, 9, 3a, 3b, and 2a, MM2 calculations, the processes of one-pot conjugate addition-enolate methylation, the cyclization of 3b, the Diels-Alder reaction of 2a, and spectral data for 1 and 4 (22 pages). Ordering information is given on any current masthead page.

## Fischer Carbene Mediated Conversions of Enynes to Biand Tricyclic Cyclopropane-Containing Carbon Skeletons

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The reaction of Fischer carbene complexes with enyne substrates represents a potentially valuable strategy for the construction of bicyclic (or tricyclic if the carbene is tethered to the enyne) carbon skeletons (cf. 9, Scheme I) through a coupling of the well-established mode of carbene/alkyne reactions to generate presumed vinylcarbene intermediates (cf.  $1 \rightarrow 2 \rightarrow 3$ )<sup>2</sup> with the less often exploited reaction of carbenes with alkenes to generate cyclopropanes (cf.  $3 \rightarrow 4 \rightarrow 9$ ). The latter process of this pair is admittedly suspect of having limitations since intermolecular cyclopropane formation by donor-atom-substituted carbenes (e.g.,  $R(MeO)C = M(CO)_5$  is general only with electron deficient alkenes;<sup>3</sup> simple or electron rich olefins often suffer competitive reactions such as metathesis via presumed metallacyclobutane intermediates (cf.  $4 \rightarrow 8$ ).<sup>4</sup> Indeed, neither of two previous reports of reaction between carbene complexes and enynes has described the formation of cyclopropane-containing products. In one,<sup>5</sup> the (catalytic) conversion of 2-alkynyl-2'-alkenylbiphenyls to 5-alkenylphenanthrenes proceeded by metathesis which was driven by generation of the aromatic phenanthrene skeleton. In the other, the parent hept-6-en-1-yne produced bicyclic cyclobutanones and simple furans. Carbon monoxide insertion into the vinyl carbene (cf.  $3 \rightarrow 7$ ) to provide a vinyl ketene (an intermediate which has also been proposed in naphthol formation when aryl-substituted carbenes are reacted with alkynes) followed either by an internal 2 + 2 cycloaddition (cf.  $7 \rightarrow 5$ ) or by rearrangement (cf.  $7 \rightarrow$ 

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<sup>(16)</sup> TLC: **6a**, **b**  $R_f$  0.19, **7a**, **b**  $R_f$  0.34 (55:45 hexane-AcoEt); HPLC: **6a** Rt = 14-15 min, **6b** Rt = 16-18 min, silica gel 60-5  $\mu$ m, 7.5 o.d × 300 mm, 3.0 mL/min, 6% *i*-PrOH-hexane.

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formed in ca. 10% yield. (19) Macrocyclic **2a**: NMR (CDCl<sub>3</sub>, 500 MHz) 0.86 (s, 18-Me), 1.48 (d, J = 0.6 Hz, 19-Me). Tricyclic 1: NMR (CDCl<sub>3</sub>, 90 MHz) 0.95 (s, 18-Me, lit.<sup>20</sup> 0.94), 0.99 (s, 19-Me, lit. 0.99), 5.62 (br s, C(6,7)-olefin, lit. 5.57); CMR (CDCl<sub>3</sub>, 22.5 MHz) 219.92, 212.15, 130.23, 127.09, 49.87, 48.44, 44.13, 43.74 (2 × C), 36.49, 36.29, 35.90, 34.66, 33.81, 31.92, 21.67, 21.28, 20.37, 13.97. (20) Kirk, D. N.; Leonard, D. R. A. J. Chem. Soc., Perkin Trans. 1 1973, 1836

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